



Image 2817

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/028,922

Filing Date 12/19/2001

First Named Inventor Daniel HOFFMAN, et al.

Group Art Unit 2817

Examiner Name Unknown

Total Number of Pages in This Submission 4

Attorney Docket Number 4097 P02

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Robert M. Wallace Reg. No. 29,119

Signature

Date

11/06/2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

November 6, 2003

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Shelly Hart

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11/06/2003

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11-06-2003 Shelley Hart
Name

PATENT

Attorney Docket No. 4097 P02

RW No. APM/063-99-CP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Daniel HOFFMAN, et al.

Entitled: PLASMA REACTOR WITH OVERHEAD
RF ELECTRODE TUNED TO THE PLASMA

Serial No.: 10/028,922

Filing Date: December 19, 2001

)
) Group Art Unit: 2817
)

) Examiner: Unknown
)
)

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each foreign patent document and/or non-patent literature is enclosed unless indicated otherwise. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Robert M. Wallace", with a long, sweeping horizontal flourish extending to the right.

Robert M. Wallace
Registration No. 29,119
Attorney for Applicants

Dated: November 6, 2003

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INFORMATION
DISCLOSURE
STATEMENT BY APPLICANT

Sheet 1 of 1

Application Number: 10/028,922
Filing Date: December 19, 2001
First Named Inventor: Daniel HOFFMAN, et al.
Group Art Unit: 2817
Examiner Name: Unknown
Attorney Docket Number: 4097 P02

U. S. PATENT DOCUMENTS

Examiner Initials	Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date
	US-6,016,131	01-18-2000	SATO ET AL.	343	895	05-03-1999
	US-6,218,312 B1	04-17-2001	COLLINS ET AL.	438	723	10-08-1998
	US-6,462,481 B1	10-08-2002	HOLLAND ET AL.	315	111.21	07-06-2000

FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	

Examiner's Signature:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.